Fatigue is associated with inflammation in patients with head and neck cancer before and after intensity-modulated radiation therapy

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ABSTRACT

Patients with head and neck cancer (HNC) receiving intensity-modulated radiation therapy (IMRT) have particularly high rates of fatigue, and pre- and post-radiotherapy fatigue are prognostic factors for pathologic tumor responses and poor survival. Although inflammation has been proposed as one of the potential mechanisms of fatigue in cancer patients, findings have not been consistent, and there is a dearth of longitudinal studies. Accordingly, we conducted a prospective study in 46 HNC patients pre- and one-month post-IMRT. Fatigue was measured by the Multidimensional Fatigue Inventory (MFI)-20 at both time points along with the assessment of peripheral blood inflammatory markers including interleukin (IL)-6, soluble tumor necrosis factor receptor 2, and C-reactive protein (CRP) and gene expression. Generalized estimating equations were used to examine the association between inflammatory markers and fatigue. Gene enrichment analysis using MetaCore software was performed using up-regulated genes that were significantly associated with IMRT and fatigue. Significant associations between fatigue and IL-6 as well as CRP, which were independent of time, were observed. In addition the change in fatigue from pre- to post-IMRT was positively associated with the change in IL-6 and CRP. Analysis of up-regulated gene transcripts as a function of IMRT and fatigue revealed overrepresentation of transcripts related to the defense response and nuclear factor kappa B. In conclusion, our findings support the hypotheses that inflammation contributes to fatigue as well as strategies targeting inflammation to reduce fatigue are warranted.

1. Introduction

Compelling evidence demonstrates the importance of cancer-related fatigue to quality of life and survival [Janaki et al., 2010; Fang et al., 2004; Montazeri, 2009]. However, little work has been done in patients with head and neck cancer (HNC) Jereczek-Fossa et al., 2007; Sawada et al., 2012; Ackerstaff et al., 2011; Hoskin et al., 2009. The most prevalent HNCs, cancers of the oral cavity and oropharynx, are the 10th most common cancers worldwide (Mehanna et al., 2010). In the US, 55,070 new HNC cases are estimated in 2014, and this number has increased in the past decade (Siegel et al., 2014). New evidence shows that the rise in incidence of HNCs is due to increased human papillomavirus (HPV) infection. If these trends continue, by 2020 HPV-positive HNCs will likely surpass cervical cancer as the most common HPV-associated cancer in the United States (Chaturvedi et al., 2011). HNC patients, usually treated either definitively or adjuvantly with radiotherapy, have particularly high rates of fatigue (Hickok et al., 2005; Gulliford et al., 2012). Intensity-modulated radiation therapy (IMRT) employs multiple intensity levels across each radiation beam allowing for improved conformal and homogenous dose distributions over complex target volumes with sparing of adjacent normal structures. IMRT is also the most frequently used radiation technique for HNC patients. Recent research on IMRT has shown that patients treated with IMRT experience even higher fatigue compared to conventional, 3D conformal-RT (Gulliford et al.,"
2.1. Sample

The study enrolled patients at the Radiation Oncology Clinics at Emory Clinic and Emory University Hospital Midtown from May, 2012 – December, 2013. Inclusion criteria were: histological proof of squamous cell carcinoma of the head and neck region with no distant metastasis; ≥ 21 years of age; and no evidence of uncontrolled metabolic, hematologic, cardiovascular, renal, hepatic or neurologic disease. Exclusion criteria were: simultaneous primaries; previous invasive malignancies but disease free for <3 years; pregnancy; and presence of a major psychiatric disorder (e.g. bipolar disorder and schizophrenia) or inability to understand English. Other exclusion criteria that might confound the relationship between fatigue and inflammation included: chronic medical conditions involving the immune system (e.g., HIV, hepatitis B or C) or regular use of immunosuppressive medications (such as glucocorticoids and methotrexate) within 6 months of study entry. Over-the-counter anti-inflammatory medications and antidepressants were allowed.

2.2. Fatigue and social behavioral measures

2.2.1. Fatigue

The Multidimensional Fatigue Inventory (MFI)-20 was used as the primary outcome measure for fatigue. MFI is a 20-item self-report instrument that covers five dimensions of fatigue: general fatigue, physical fatigue, mental fatigue, reduced motivation, and activity. Other exclusion criteria that might confound the relationship between fatigue and inflammation included: chronic medical conditions involving the immune system (e.g., HIV, hepatitis B or C) or regular use of immunosuppressive medications (such as glucocorticoids and methotrexate) within 6 months of study entry. Over-the-counter anti-inflammatory medications and antidepressants were allowed.

2.2.2. Covariates: demographic and clinical variables

Demographic and clinical variables were collected by chart review. Variables included: age, sex, race, education (years), marital status (married/single), body mass index (BMI), antidepressant use (yes/no), tobacco use (yes/no), alcohol use (yes/no), primary cancer site, cancer stage (TNM), radiation dose (Gy), treatment regimen (IMRT/IMRT + chemotherapy/IMRT + chemotherapy + surgery/IMRT + surgery), and chemotherapeutic regimen (cisplatin/cisplatin switching to carboplatin + paclitaxel/cisplatin switching to carboplatin + paclitaxel). These variables were chosen for their potential influence on fatigue, based on literature reviews and our previous studies (Bower et al., 2011; Wang et al., 2010; Xiao et al., 2011; Mitchell, 2010; Fang et al., 2005).
Other symptoms that were investigated as potential confounders included depressive symptoms, sleep difficulties, cognitive dysfunction, pain, dry mouth, difficulty swallowing, skin burn from radiation, mouth or throat sores, taste change, nausea, and vomiting. These potential confounders were chosen based on literature reviews and our previous studies, which showed significant associations of these symptoms with either the inflammatory markers or fatigue (Xiao et al., 2013; Bower et al., 2011). These symptoms were also included in the core symptoms for patient assessment in those with HNC as determined by the recent National Cancer Institute (NCI) Symptom Management & Quality of Life Steering Committee Clinical Trials Planning Meeting on Patient Reported Outcomes. The Patient-Reported Outcomes version of the Common Terminology Criteria for Adverse Events (PRO-CTCAE) was used to measure all above-mentioned symptoms (National Cancer Institute, 2012). Validation studies are being conducted at NCI-designated comprehensive cancer centers and the RTOG (National Cancer Institute, 2010).

Our previous studies have identified two stable and reliable symptom clusters in patients with HNC (Xiao et al., 2013, 2014), and these two symptom clusters were used in this study to group symptoms together with the purpose of reducing the number of covariates. Therefore, the final symptoms and/or symptom clusters defined as covariates were: depressive symptoms, sleep difficulties, cognitive dysfunction, HNC symptom cluster (including symptoms of pain, dry mouth, difficulty swallowing, skin burn from radiation, mouth or throat sores, and taste change), and gastrointestinal (GI) symptom cluster (including symptoms of nausea and vomiting).

2.3. Laboratory methods

Whole blood was collected into chilled EDTA tubes for the isolation of plasma and peripheral blood mononuclear cells (PBMCs). Plasma was separated by centrifu- gation at 1000g for 10 min at 4 °C, and then aliquoted into siliconized polypropylene tubes and stored at −80 °C until assayed. PBMCs were isolated using density gradient centrifugation and stored in freezing serum (90% fetal bovine serum, 10% DMSO) at −80 °C until mRNA isolation.

2.3.1. Inflammatory markers

Inflammatory markers including IL-6, sTNFR2, and CRP were chosen on the basis of findings from previous research on fatigue and inflammation in cancer patients (Orre et al., 2011; Wang et al., 2010; Lyon et al., 2011; Miller et al., 2008; Reyes-Gibby et al., 2009). Plasma concentrations of IL-6 and sTNFR2 were determined using sandwich ELISA according to manufacturer’s protocol (R & D Systems; Minneapolis, MN). All samples were run in duplicate, and quality control plasma of both low and high inflammatory marker concentrations were included with every assay. The mean inter- and intra-assay coefficients of variation for control samples are reliably 10% or less. CRP was measured using standard turbidimetric assay techniques.

2.3.2. Gene expression

Total RNA was isolated from PBMCs according to manufacturer’s protocol (Qiagen RNEasy Mini Kit; Qiagen; Valencia, CA). RNA integrity was determined initially by 260/280 = 1.9–2.1 and finally by scanning with an Agilent 2100 Bioanalyzer using the RNA 6000 Nano LabChip. Isolated RNAs were kept at −80 °C until microarray analysis. The Emory Integrated Genomics Core analyzed RNA samples for gene expression using Illumina Whole Genome BeadChips (HumanHT-12 Expression BeadChip: Illumina; San Diego, CA). Raw probe intensities were corrected for background levels and normalized by the quantile normalization algorithm using GenomeStudio software from Illumina. To restrict our analysis to probes significantly expressed in our PBMC samples, we removed probes that had detection p-values <.05 for fewer than 10% of samples, resulting in a final set of 27,616 probes that were eligible for analysis.

2.4. Data analysis

Descriptive statistics (mean, standard deviation, N, percentage) were used to characterize the sample. A paired t-test was used to examine fatigue and inflammatory marker changes from pre- to post-IMRT. Generalized linear models with the generalized estimating equations (GEE) method and exchangeable within-subject correlation matrix were employed to measure the relationship of fatigue score with predictors (inflammatory markers) and covariates to account for correlated repeated measured outcome data. The first GEE model was constructed to examine the simple association between fatigue and inflammatory markers across time (i.e. prior to and after IMRT) controlling for relevant demographic and clinical covariates including age, smoking status (yes/no), BMI, treatment type, and the use of antidepressants (yes/no). In order to examine whether the association between fatigue and inflammatory markers across time was driven by inflammation-induced changes in depression or other symptoms, a second multivariable GEE analysis was conducted including other symptoms as well as clinical and demographic variables as covariates. Covariates that exhibited a univariate relationship with fatigue at an alpha level of <0.1 were retained in the model. Because the relationship between fatigue and inflammatory markers might differ before versus after IMRT, a third GEE analysis was conducted to examine the interaction effect of biomarkers of inflammation and time on fatigue. For this interaction analysis, inflammatory markers were categorized into three levels. CRP was categorized as low (<1 mg/L), medium (1–3 mg/L), or high (≥3 mg/L) according to guidelines set forth by the American Heart Association (Ridker, 2003). IL6 and sTNFr2 were categorized based on percentile rank as low (<25th percentile), medium (25–75th percentile) and high (>75th percentile).

Linear regression was used to examine the association between delta inflammatory markers and delta fatigue (1-month post-IMRT – pre-IMRT). To achieve normality, the log value of inflammatory markers were used in data analysis. All analyses were done using SAS 9.3 (SAS Institute, Inc., Cary, North Carolina) with a significance level of 0.05.

To model the associations between gene expression, IMRT, and fatigue, linear regression with fixed effects to account for within-subject correlation in gene expression was used. To identify genes whose expression changed significantly from pre- to post-IMRT, a separate model was fit for each probe where the log expression signal was modeled as a function of IMRT status (pre or post), with covariates included to adjust for subject age and the within-subject fixed effect. To identify genes whose expression predicted changes in fatigue from pre- to post-IMRT, the log expression signal of each probe was modeled as a function of fatigue, adjusting for IMRT status (pre or post), subject age, and the within-subject fixed effect. To establish sets of significant genes, the Benjamini–Hochberg procedure (Benjamini and Hochberg, 1995) was used to control the false discovery rate (FDR) at 1%.

To gain insights into the biological processes involving genes that were significantly related with IMRT and fatigue, we focused on the set of genes that were significantly associated with both IMRT (FDR<.01) and fatigue (FDR<.01). Up-regulated genes associated with IMRT and fatigue were entered into MetaCore software (GeneGo, Inc., St. Joseph, Mich) for enrichment analysis of non-specific functional annotation (Gene Ontology (GO) processes) as well as transcription factor analysis for NFkB-related gene
transcripts (Shmelkov et al., 2011). MetaCore software has been shown to be both accurate and comprehensive in identifying transcriptional regulatory networks including those regulated by NFkB (Shmelkov et al., 2011) and has been used by our group in previous studies (Torres et al., 2013).

3. Results

3.1. Demographic and clinical characteristics

All 46 enrolled patients filled out the questionnaires; 43 of them had cytokine data; and 35 had gene expression data at both pre- and 1-month post-IMRT. Demographic and clinical characteristics of the study sample are shown in Table 1. The sample was predominantly middle-aged Caucasian males who were married and had a history of tobacco use. Half of the patients had a history of alcohol use. Most patients were diagnosed with non-laryngeal cancer with advanced disease (stage IV). All participants were treated with IMRT, and the majority received concurrent chemotherapy. Patient receiving definite surgery had lower doses of radiation (average of 66 Gy), and patients receiving concurrent chemoradiation had a higher dose of radiation (average of 70 Gy). For patients having surgery plus concurrent chemoradiation, half of them had either radiation at 66 Gy and the other half had 70 Gy.

3.2. Pre- to post-IMRT changes in fatigue and inflammation

Fatigue was the most commonly reported symptom among 13 patient-reported symptoms in HNC subjects. Ninety-eight percent of patients reported fatigue post-IMRT and 67% reported moderate to very severe fatigue. Additionally, fatigue increased significantly from pre- to post-IMRT (see Table 2). Significant increases in IL6 and sTNFR2 from pre- to post-IMRT were also observed, while the changes in CRP from pre- to post-IMRT were not significant (see Table 2).

3.3. Association between fatigue and inflammatory markers over time

Controlling for age, smoking, BMI, treatment type, and antidepressant usage, positive associations were found between fatigue and IL6 (estimate = 10.231; p = 0.0210) and CRP (estimate = 5.174; p = 0.0171) across time. Next, the association between fatigue and inflammatory markers was examined adding depressive symptoms, cognitive dysfunction, and HNC and GI symptom clusters as covariates to the model. Only depressive symptoms, cognitive dysfunction, and the GI symptom cluster, were significantly related to fatigue in the final multivariate GEE model. Controlling for these covariates, inflammatory markers continued to be associated with fatigue as presented in Table 3 for IL6 and Table 4 for CRP. When IL6 increased, fatigue increased significantly (p = 0.0059), after adjusting for significant covariates. A similar result was shown for CRP: when CRP increased, fatigue increased significantly (p = 0.0323), after adjusting for significant covariates. Interestingly, there was no significant interaction effect between IL6 or CRP and time. Indeed, the positive association between IL6 and CRP and fatigue was similar both pre- and post-IMRT (see Fig. 1).

Given the potential influence of antidepressants and circadian rhythm on inflammatory markers (Torres et al., 2013; Zhou et al., 2010; Petrovsky et al., 1998; Helmy et al., 2012), the use of antidepressants and the blood collection time were forced into the final multivariate GEE model. Only depressive symptoms, cognitive dysfunction, and the GI symptom cluster were significant covariates. Controlling for these covariates, IL6 (estimate = 10.231; p = 0.0210), CRP (estimate = 5.174; p = 0.0171) remained significantly associated with fatigue across time.

3.4. Gene expression changes over time

Changes in gene expression were examined at the transcriptional level using MetaCore software to understand the complex network of transcriptional changes over time. Many of these transcriptional changes were found to be associated with fatigue over time (see Table 2). The changes in gene expression were found to be both accurate and comprehensive in identifying transcriptional regulatory networks including those regulated by NFkB (Shmelkov et al., 2011) and has been used by our group in previous studies (Torres et al., 2013).
model as control variables for data quality. The variables were not significant in the final model, and thus, are not presented in Tables 3 and 4.

The association between the change scores (delta) in inflammatory markers and fatigue from pre to post was also tested by a linear regression model. Results showed that changes in inflammatory markers, IL6 and CRP, were significantly positively associated with changes in fatigue over time after adjusting for the same significant covariates as described above in GEE models ($t = 2.64, p = 0.0121; t = 2.39, p = 0.0224$; see Fig. 2).

### 3.4. Association between fatigue and gene expression over time

We examined the association between genome-wide gene expression, IMRT, and fatigue via linear regression models with subject-specific fixed effects. 3534 genes demonstrated significant gene expression changes from pre to post-IMRT (FDR<.01, corresponding to $p<.0013$). 585 genes demonstrated significant association with within-subject changes in fatigue (FDR<.01, corresponding to $p<.00021$). Of the 543 genes significantly associated with both IMRT and fatigue, 144 (27%) were up-regulated with increased fatigue and 399 (73%) were down-regulated.

The top 2 biologic processes represented in the GO analysis among the up-regulated genes were the defense response and

<table>
<thead>
<tr>
<th>Predictors</th>
<th>Estimates</th>
<th>SE</th>
<th>p</th>
</tr>
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<tbody>
<tr>
<td>CRP$^a$</td>
<td>3.45</td>
<td>1.61</td>
<td>0.0323</td>
</tr>
<tr>
<td>Cognitive dysfunction</td>
<td>9.45</td>
<td>1.06</td>
<td>&lt;0.0001</td>
</tr>
<tr>
<td>Depressive symptoms</td>
<td>3.96</td>
<td>1.33</td>
<td>0.0028</td>
</tr>
<tr>
<td>GI symptom cluster</td>
<td>1.62</td>
<td>0.81</td>
<td>0.0444</td>
</tr>
<tr>
<td>Time$^b$</td>
<td>6.01</td>
<td>2.46</td>
<td>0.0145</td>
</tr>
</tbody>
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Note. CRP = C-reactive protein, GEE = generalized estimating equations, GI = gastrointestinal.

$^a$ Log10 transformed.

$^b$ The reference point was pre-intensity-modulated radiation therapy (IMRT).

Thus, compared to pre-IMRT, fatigue scores were increased by an average of 6.01 points following IMRT in this model.

![Fig. 1. The positive relationship between inflammation and fatigue did not change over time in head and neck cancer patients. The positive association between inflammatory markers (IL6 and CRP) and fatigue was similar at both pre and post-IMRT, because the interaction effect between IL6 and time or CRP and time on fatigue was not significant. Note. CRP = C-reactive protein, IMRT = intensity-modulated radiation therapy, interleukin 6 = IL 6.](image1)

![Fig. 2. Association of change (post-pre) in IL6 and CRP related to change in fatigue in head and neck cancer patients. Note. CRP = C-reactive protein, IMRT = intensity-modulated radiation therapy, interleukin 6 = IL 6. Changes in inflammatory markers, IL6 and CRP, were significantly positively associated with changes in fatigue over time after adjusting for significant covariates.](image2)
responses to external stimulus (see Fig. 3). MetaCore analysis of the up-regulated genes that were significantly associated with fatigue revealed an over-representation of genes regulated by NFkB family transcription factors, including RelA (p65 NFkB subunit; \( z \) score = 65.34; \( p = 1.90 \times 10^{-17}; \text{see Fig. 3} \)).

4. Discussion

The major findings of this study include: (1) inflammatory markers were positively associated with fatigue both before and after IMRT in HNC patients; (2) changes in inflammatory markers were associated with changes in fatigue following IMRT; (3) up-regulated gene transcripts associated with fatigue represented transcription factors related to the defense response and NFkB; and (4) although treatment triggered an increase in the inflammatory markers and fatigue, the association between inflammatory markers and fatigue did not rely on IMRT.

Our data support the hypothesis of a positive association between inflammation and fatigue. During the last decade, increasing evidence has identified a promising link between fatigue and inflammation. For instance, increased peripheral levels of IL-1ra (Collado-Hidalgo et al., 2006; Orre et al., 2009; Bower et al., 2002; Meyers et al., 2005), IL-6 (Meyers et al., 2005; Costanzo et al., 2005; Wratten et al., 2004), TNF (Bower et al., 2011; Meyers et al., 2005), CRP (Orre et al., 2009, 2011) appear to be significantly related to severe fatigue in cancer patients across disease trajectories. Other studies, however, have shown weak or non-significant associations among cancer-related fatigue and some of these inflammatory markers (Bower et al., 2011; Cameron et al., 2012; Kwak et al., 2012). Given the cross-sectional design in most studies (Schubert et al., 2007), a longitudinal design helps to elucidate the complex fatigue and inflammation association. Our longitudinal data showed significant predictive effects of IL-6 and CRP on fatigue over time, and these effects were independent of other significant covariates, such as depressive symptoms and cognitive dysfunction. Interestingly, our data showed that CRP did not increase from pre- to post-treatment but was associated with changes in fatigue, while sTNFRII increased from pre- to post-treatment but was not associated with changes in fatigue. These data highlight the role of individual variability in inflammatory markers and fatigue responses over time. Overall, these findings suggest a positive association between inflammation and fatigue before and during cancer and its treatment and indicate that inflammatory markers may represent potential biomarkers of fatigue.

The results from gene expression data analyses further support the positive association between inflammation and fatigue. Consistent with our hypothesis and results from previous studies, three transcription factor networks were related to NFkB in the up-regulated genes that correlated with fatigue. NFkB, a critical transcription factor mediating inflammation and stress-induced responses (Karin and Greten, 2005; Gupta et al., 2011), is usually sequestered in the cytoplasm (Wan and Lenardo, 2010). Activated NF-kB translocates to the nucleus and binds to motifs in target gene-related promoters or enhancers (Wan and Lenardo, 2010; Ho et al., 2005). The subsequent gene expression enhances production of inflammatory cytokines like IL-1, IL-6, and TNF which were elevated in our protein measures (Karin and Greten, 2005). Our findings were consistent with a breast cancer study that showed increased expression of transcripts with response elements for NFkB (Bower et al., 2011). This increased expression of genes regulated by NFkB as found in our data has also been found in our study of breast cancer survivors with fatigue (Torres et al., 2013). Another small study investigating 5 patients with HNC during chemoradiotherapy also found Toll like receptor (TLR)/NFkB signaling pathways might play potential roles in development of treatment-related side effects/symptoms (Sonis et al., 2007).

The effect of treatment (analyzed as “time” in our statistical models) on the inflammatory markers indicates that IMRT increases inflammation in addition to fatigue. These findings are consistent with other studies that have found an association between radiation and inflammation (Multhoff and Radons, 2012; Schaufer et al., 2015). Second, our data indicate that the association between inflammation and fatigue does not rely on radiation treatment. Indeed, the results from our study did not show a
significant interaction effect between time (pre- vs. post-IMRT) and inflammatory markers and their impact on fatigue. These data are consistent with other studies demonstrating increased fatigue in association with inflammatory markers in patients with other forms of cancer, and are consistent with studies in laboratory animals and humans indicating that tumors themselves can increase inflammatory markers leading to behavioral changes including fatigue in absence of treatment (Schrøepf et al., 2015; Pyter et al., 2014).

The mechanisms by which peripheral inflammation contributes to fatigue are not well-documented. Studies have shown that peripheral inflammatory markers likely contribute to fatigue through inhibitory effects on dopaminergic circuits in the basal ganglia (Capuron et al., 2012). The basal ganglia are associated with a variety of functions such as motivation to action and motor activity (Stocco et al., 2010; Aarsalidou et al., 2013). Recent studies on patients with chronic fatigue syndrome, multiple sclerosis, and breast cancer have shown that fatigue is associated with dysfunction of certain brain regions including basal ganglia (Mennin et al., 2015; Miller et al., 2014; Hanken et al., 2014). Moreover, malignant melanoma patients treated with the inflammatory cytokine, interferon-alpha, were found to exhibit alterations in basal ganglia glucose metabolism as well as dopamine function, which were related to fatigue (Capuron et al., 2007). However, direct association among fatigue, peripheral inflammation, and basal ganglia function in cancer patients has not been established. Future prospective studies measuring fatigue, inflammation, and brain function would help further elucidate the pathophysiology of cancer-related fatigue.

To our knowledge, this is the first study to examine the association between fatigue and inflammation in HNC patients. Other strengths of this study are its longitudinal design and the complementary assessment of protein and gene expression markers of inflammation. Major limitations of the study include the relatively small sample size and the preponderance of Caucasian males, which may challenge generalization of the findings. Nevertheless, the enrolled patient population is similar to those previously reported in HNC clinical trials (Xiao et al., 2013). In addition, use of over-the-counter anti-inflammatory medications were not assessed, which might have influenced the association between fatigue and inflammatory markers. Finally, the data are correlational in nature, and without an intervention to block inflammation, cause and effect inferences cannot be drawn.

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